SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

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OMB APPROVAL

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

					estment Company Act of 1940	-			
1. Name and Addre Mbugua Star		Person*	2. Date of Event Requiring Statement (Month/Day/Year) 11/30/2024	3. Issuer Name and Ticker or Trading Symbol <u>WISA TECHNOLOGIES, INC.</u> [WISA]					
(Last) C/O WISA TEO 15268 NW GR (Street) BEAVERTON (City)	EENBRIER P	1	_	4. Relationship of Reporting Person(s (Check all applicable) Director X Officer (give title below) See Remark		10% Owner Other (specify below) ks		 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 	
1. Title of Security (Instr. 4)					ount of Securities 3. Ownership icially Owned (Instr. 4) Form: Direct (D) of Indirect (I) (Instr. 4)		(D) or 5)	1 '	
Common Stock					70,000 ⁽¹⁾	D			
					urities Beneficially Overtions, convertible se				
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable ar Expiration Date (Month/Day/Year)		le and Amount of Securities rative Security (Instr. 4)	s Underlying Amount or	4. Conversio or Exercis Price of Derivative Security	e (D) or Indirect (I)	6. Nature of Indirect Beneficial Ownership (Instr. 5)

Explanation of Responses:

1. 70,000 restricted shares (the "Shares") of common stock, par value \$0.0001 per share, of the issuer were received as a material inducement to the reporting person's acceptance of employment with the issuer (the "Stock Award"). The Shares associated with the Stock Award are scheduled to vest in twelve (12) equal installments, from the period from December 20, 2024 to September 20, 2027, on each December 20th, March 20th, June 20th, and September 20th, so long as the reporting person remains in the service of the issuer on each such date.

Title

Remarks:

Chief Accounting Officer and VP of Finance. Power of Attorney attached as Exhibit 24.

<u>/s/ Stanley Mbugua</u> ** Signature of Reporting Person

Number

of Shares

<u>12/04/2024</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

Exercisable Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Expiration

POWER OF ATTORNEY

KNOW ALL BY THESE PRESENT, that the undersigned hereby constitutes and appoints Aaron M. Schleicher, Esq. and Jiayin Liao, Esq. of the law firm Sullivan & Worcester LLP, and Brett Moyer, individually and not jointly, as the undersigned's true and lawful attorneys-in-fact to:

(1) prepare, execute in the undersigned's name and on the undersigned's behalf, and submit to the U.S. Securities and Exchange Commission (the "SEC") an Application for EDGAR Access (Form ID), including amendments thereto, and any other documents necessary or appropriate to obtain codes and passwords enabling the undersigned to make electronic filings with the SEC of reports required by Section 16(a) of the Securities Exchange Act of 1934, as amended (the "Act"), or any rule or regulation of the SEC;

(2) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of WiSA Technologies, Inc. (the "Company"), any and all Forms 3, 4 and 5 required to be filed by the undersigned in accordance with Section 16(a) of the Act and the rules thereunder;

(3) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4 or 5, complete and execute any amendment or amendments thereto, and timely file such form with the SEC and any stock exchange or similar authority; and

(4) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned, pursuant to this Power of Attorney, shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this Power of Attorney and the rights and powers herein granted. The undersigned acknowledges that no such attorney-in-fact, in serving in such capacity at the request of the undersigned, is hereby assuming, nor is the Company hereby assuming, any of the undersigned's responsibilities to comply with Section 16 of the Act.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorney-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of December 4, 2024.

/s/ Stanley Mbugua Stanley Mbugua